

Clitics: Introduction

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- ... as well as a highly challenging topic at the crossroads of syntax, morphology and phonology.
- ... a mess.

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- In Romance linguistics, the term typically refers to weak pronominal elements that attach to a/the verb in one way or another:

(1) **Me=les=dóna**
1=3.PL=give.3SG
'She gives them to me'

Barcelona Catalan

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- In Slavic linguistics, the term is used for the little elements that cluster together after the first word or constituent:

(2) Ti=**si**=**ga** video juče.
 you=AUX.2SG=HIM seen yesterday
 'You saw him yesterday.'

Serbian

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- In Slavic linguistics, the term is used for the little elements that cluster together after the first word or constituent:
- In Finnic linguistics, the term refers to phrasal affixes, i.e. affixes that attach to phrases rather than words.

- (3) a. Ta jook-sis [jõe ja puu]-ni
3SG run-3SG [river and tree]-TERM
'He went to the river and the tree.'
- b. Ilma [raha-ta ja lootuse-ta] on elu raske
without money-ABESS and hope-ABESS is life hard
'Life is hard without money and hope.' Estonian

- In Nevis, Joseph, Wanner & Zwicky (1994), the authors identify 11 different notions, in which the term *clitic* is used in the literature.
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- In Nevis, Joseph, Wanner & Zwicky (1994), the authors identify 11 different notions, in which the term *clitic* is used in the literature.
 - ↪ Many of these uses are related to or pick out an arbitrary set of the criteria we will see in the next set of slides.
 - ↪ It should be kept in mind that the term is problematic and when we encounter the term, we should try to figure out what the term means in a given source.

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- This indicates the traditional view that these elements are phonologically deficient.
- Thus, the traditional notion is essentially a phonological one:

Clitics are...

... phonologically deficient elements requiring a phonological host.

- This deficiency is often contrasted with fully articulated versions of the same element.

- (4) a. He would=ve said so.
b. He would have said so.

- (5) a. Geh-st=e nach hause?
go-2SG=2SG.PRO to home
b. Geh-st du nach hause?
go-2SG 2SG.PRO to home
'Are you going home?'

German

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 - Clitic pronouns in French occupy a different position than their non-clitic counterparts or full DPs:

- (6)
- a. Je la=vois
I her see
 - b. Je vois la femme de mes rêves.
I see the woman of my dreams
 - c. *Je vois=la.
 - d. *Je la femme de mes rêves vois.

- The first one to notice the peculiar placement properties of these phonologically weak items was (presumably) Jacob Wackernagel in his (1892) paper *“Über ein Gesetz der indogermanischen Wortstellung”*.

¹He attributes this observation partly to Abel Bergaigne (1877).

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- He notes that these phonologically deficient elements in Ancient Greek often cluster together in the second position of the clause:¹

(7) polees=te=min ērēsanto hippēes phoreein
 many=and=it prayed riders carry
 ‘And many riders prayed to carry it.’

Iliad 4.143

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- Wackernagel notes that this position is only available to these phonologically deficient items.
- And even more peculiar, these elements often split up elements that belong together *and* occur far away from elements they belong to.

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- For these reasons, the term *clitics* was extended to cover elements which are phonologically weak *and* show some sort of special morphosyntactic behavior.

- The first one to tackle the task of *clitics* against a more modern background was Arnold Zwicky in his Stanford dissertation (1977) and a series of subsequent papers (Zwicky & Pullum 1983; Zwicky 1985) and collections (Nevis, Joseph, Wanner & Zwicky (1994); Zwicky 1996).

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- Zwicky originally concerned with the question how to differentiate words from affixes and develop a set of diagnostics that apply crosslinguistically.

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- ④ Rule Immunity: Syntactic rules affect only words, never parts of words.
- ⑤ Accent: Elements that cannot bear independent accent are affixes.

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 - ↪ What counts as a word-bound phonological process?

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- But even apart from these definitional problems, the diagnostics face tons of empirical problems.
- For this reason, Zwicky argues that there must be something in between words and affixes: *Clitics*.
- Zwicky further introduces a classification of *clitics*, which has, in a slightly modified version, been adapted by many people.

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- ② Special Clitics: These are unaccented elements which do differ morphosyntactically from their accented counterparts.
- ③ Bound Words: These are unaccented elements which do not have accented counterparts.

- Elements like the English cliticized auxiliaries or the cliticized pronouns in (9) would thus count as simple clitics since they exhibit the same behavior as their fully accented counterparts:

(9) It=ll hit=**əm** like a triple espresso.

- Elements like the French object pronouns would however count as special clitics since their placement wrt the verb depends on them being a clitic.

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 - ↪ it conflates two dimensions (namely whether an element has an accented counterpart and whether that element shows syntactically unusual behavior)
 - ↪ the grammatical status of an element A should not be determined on the basis of whether an element B exists or not.

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- For this reason, the classification that is widely adopted still uses the terms *simple clitic* and *special clitic* but got rid of these confounds:
- Thus, the term *simple clitics* refer to elements that are unaccented and potentially phonologically reduced and show no idiosyncratic morphosyntactic behavior.
- Simple clitics simply appear in the position we would syntactically expect them and lean to the left or the right.
 - ↪ In the former case, they are called enclitics, in the latter case, they are called proclitics.
 - ↪ Note that the direction in which they lean is independent from their morphosyntactic constituency.

- A striking example comes from Kwakwaka'wakw, a Wakashan language from Vancouver Island
- Here the determiners precede the NP they modify but the consistently lean to the left - away from the constituent they belong to:

(10) yəlkwəmas=ida bəgwənəma=x-a 'watsi=s-a gwaxχuxw
 cause.hurt=DEM man=OBJ-DEM dog=INST-DEM stick
 'The man hurt the dog with a stick.' Anderson (2005:16f)

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 'The man hurt the dog with a stick.' Anderson (2005:16f)

(11) noχa-∅-s-is kwixayu lax-is ts'a' ya
 threaten-3SG=INST=DEM club to-his brother
 'He threatened his younger brother with his club.'

- ↪ The determiners simply do not care about their host, they'll just lean to the left.

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- We will see that the phonology of clitics often mismatches with their syntactic behavior and thus has been claimed to be (largely) independent.
- The process by which phonologically deficient clitics such as the K^wak^wala ones attach to their host has received various names such as *leaning*, *cliticization* and *Stray Adjunction* (Anderson 2005)

- In contrast to the ones above, *special clitics* then refer to the same kind of elements which do show some idiosyncratic behavior.
 - ↪ Note crucially, that under this definition, the *specialness* of these elements is not defined in relation to an accented counterpart but rather in relation to the general rules of a given language.

- The determiner in Bulgarian is claimed to attach to the hierarchically highest morphosyntactic word of its complement (Embick & Noyer 2001):

- (13) a. *dosta glupava-ta zabeleška*
 quite stupid-DEF remark
 'the quite stupid remark' Franks (2001)
- b. *prohladna-ta i sveža večer*
 cool-DEF and fresh evening
 'the cool and fresh evening' (Gribanova & Harizanov 2012)

↪ This clitic counts as special since the regular rules of Bulgarian suggest that its actual syntacto-semantic position should be at the beginning of the noun phrase.

- Subject agreement, tense and mood clitics in Lummi simply occur after the first word, which is often, but not always the verb.

- (14) a. $k^w\text{əniŋ-t-óŋəl=ə=sə}'=sx^w$
 help-TRANS-1PL.ACC=Q=FUT=2.SG.NOM
 'Will you help us?'
- b. $'əy=sx^w$ $swəy'qə'$
 good=2SG.NOM man
 'You are a good man.' Lummi, Jelinek (1996)

- This position is only accessible to these clitics; other elements cannot occur there.

- The ϕ -agreement markers in Sanzhi Dargwa (Nakh-Dagestan) occur in clause-final position attached to the verb (where we would expect them) unless the clause contains a focussed constituent:

- (15) a. du-l hana t'ala^ʔħ-ne ic-an=**da**
1SG-ERG now dishes-PL wash.IPFV-PTCP=1
'Now I will/have to wash the dishes.'
- b. du-l hana t'ala^ʔħ-ne=**da** ic-an
1SG-ERG now dishes-PL=1 wash.IPFV-PTCP
'Now I will/have to wash the **dishes**.' Forker 2016:2

- Claims about the positioning of special head-clitics include:
 - Second-Position clitics:
 - ↪ Attaching to the first morphosyntactic word in a given domain
 - ↪ Attaching to the first prosodic word in a given domain
 - Focus-sensitive clitics
 - ↪ Attaching to the focussed constituent
 - ↪ Attaching to the head of the focussed constituent
 - Second-to-last position clitics
 - Clitics that are sensitive to a certain category (e.g. the verb)

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 - Their ability to occur together with other arguments referring to the same entity in certain configurations (clitic doubling)
 - Their (apparent) ability to cross clause boundaries in certain configurations (clitic climbing):

(17) Gianni **lo**=vuole comprare.
Gianni it=wants buy.INF
'Gianni wants to buy it.'

Italian

- In a similar fashion, argument clitics (i.e. weak pronouns) exhibit a wide range of theoretically challenging properties:
- Their placement properties
 - Their ability to occur together with other arguments referring to the same entity in certain configurations (clitic doubling)
 - Their (apparent) ability to cross clause boundaries in certain configurations (clitic climbing)
 - Their weird restrictions about the cooccurrence with other clitics (PCC-effects).

- (18) a. ***le=lo=diste.**
it.ACC=him.DAT=give.2SG
'You gave it to him.'
- b. **se=lo=diste.**
REFL?=him.DAT=give.2SG
'You gave it to him.'

In this course, I/we want to learn something about:

- The range of different types of both head-clitics and argument-clitics
- Their properties and how they interact with the phonology.
- The diagnostics to distinguish the different types.
- Possible theoretical implementations modelling the distribution and their morphophonological properties.

What do you want to learn about?